

## REGISTRATION PROCESS

### INTRODUCTION

The present document describes in general terms the certification process of IT Security Products according to the SESIP Scheme, accredited to ISO/IEC ISO 17065, of the SGS Brightsight Certification Body of SGS Brightsight Barcelona S.L.U (hereinafter SGS Brightsight CB), Nº 220/C-PR490 ([scope accreditation](#))

The document focuses on the following sections: application form, offer, Evaluation Test Report (ETR), issuance and maintenance of certificates.

### APPLICATION FORM

For this purpose, the client downloads the Application Form on the SGS Brightsight CB website: [www.sgsbrightsight.com](http://www.sgsbrightsight.com).

The client will select an ITSEF licensed by SGS Brightsight CB. Therefore, SGS Brightsight CB will keep a public list of Licensed ITSEF on its website [www.brightsight.com](http://www.brightsight.com) where the client can choose the one that best suits their needs.

### OFFER

The certification services offered by SGS Brightsight Barcelona S.L. will be based on the data provided by the client in the application form.

Certification service will not be offered if the client has received consultancy services from any organisation linked to the SGS Group.

Furthermore, the personnel involved in the technical review have not carried out any type of consultancy related to the scheme, nor have they participated in the evaluation process or other incompatible activities described in the ISO/IEC ISO 17065, in addition to signing the document "Non-Disclosure Agreement and Declaration of Impartiality".

After checking the information, SGS Brightsight CB will send an offer with the applicable documents or the link to access them such as "Code of Practice", "Registration Process", among others, with terms and conditions of the SGS Brightsight CB. This is considered as a contract and implies knowledge and acceptance of the certification criteria.

### CERTIFICATION PROCESS

The purpose of this certification is to demonstrate that a certain Target of Evaluation (TOE) provides specific functionality and services for use by an IoT application that can be installed on the platform and to protect platform assets against state-of-the-art attackers".

Upon acceptance of the proposal, the client shall send to the SGS Brightsight CB the following documents prepared by a laboratory accredited for the SESIP scheme:

- Security Target Template (ST)
- Performance of the evaluation activities
- Test plan
- Evaluation reports
- Evaluation test results (ETR)

This documentation shall be analyzed by a Technical Reviewer of the SGS Brightsight CB, who will comment this documentation with the Accredited Laboratory and the client by means of Meeting Minutes.

It will be the decision of the Certification Manager after evaluating the recommendations given by the Technical Reviewer.

Once the decision is made, if it is favorable, the Certificate will be issued.

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A certificate can be re-used for the underlying hardware platform. The SGS Brightsight CB shall carry out the re-issue of the certificate using the procedure "CB\_C04\_P07 SESIP Re-use of Certification Results".

## TESTING LABORATORY

According to the document "GlobalPlatform Technology SESIP Governance" Laboratories performing testing under the SESIP Scheme shall hold a valid ISO/IEC 17025 certificate with the scope of SESIP Certification Scheme, with all assurance requirements of the maximum SESIP level included, delivered by an ILAC (International Laboratories Accreditation Cooperation) member of the IAF (International Accreditation Forum).

Finally, the Testing Laboratory must also be authorized by SGS Brightsight CB.

## ISSUANCE AND MAINTAINANCE OF CERTIFICATION

SGS Brightsight CB will review, ETR any other relevant information, and make the decision to issue/maintain certification or not.

The certificate will be valid for a maximum period of two (2) years, if no change has occurred in the product covered by the certificate, from the date of publication of the certificate.

All changes to the scheme will be evaluated by SGS Brightsight CB and will notify the client to maintain the certificate.

For the maintenance of the certificate There can be two cases:

- 1) Maintenance of the certified TOE that does not impact the certified security. An application for this type of maintenance must clearly demonstrate why there is no impact on the certified security claim. This evidence will be reviewed by a certifier and a corresponding (maintenance) Review Report prepared with the Certifier analysis and certification recommendation for reissue. The successful outcome is a re-issue of the certificate showing the issue date of the initial certificate plus the date of the maintenance issue. The expiry date will remain unchanged.
- 2) Changes to a certified TOE that impact the certified security claim (recertification). A change to a TOE that affects the certified security claim, including the need for an updated vulnerability analysis, requires a recertification. Recertification always requires an associated evaluation. The evaluation deliverables are the same as for an initial evaluation. The ETR and associated reports resulting from the evaluation will reference the SESIP scheme requirements and references that are valid at the time of the application for recertification. The application may reference elements of the originally certified product for results re-use. The re-use of results and analyses will be dependent on the evaluator having sufficient information to determine the impact of the changes compared to the previously certified product. The resulting analysis and testing shall be serve to show that the product protects the defined assets against attack to a specified level of assurance and current state-of-the-art attacks. The successful outcome is a certificate with its own Certification ID that is valid for the full certificate validity period, as defined by the SESIP scheme rules.

Any change shall be notified to SGS Brightsight CB through a signed document addressed to the Director Manager of the SGS Brightsight CB for analysis and decision making.

For all cases, and when permitted by the SGS Brightsight CB, the use of the certification trademark, the certificate, or the mention of the status of the certified organization is regulated in the Body's document "Code of Practice". The certificate and the certification trademark are the property of SGS Brightsight Certification Body.

The SESIP Scheme's Logo and Marks are the property of GlobalPlatform. SGS Brightsight CB uses Logo and Marks according to "Trademark License Agreement". This document is published in the Globalplatform Website: [https://globalplatform.org/wp-content/uploads/2023/11/SESIP-Trademark-License-Agreement-and-Guidelines\\_102423.pdf](https://globalplatform.org/wp-content/uploads/2023/11/SESIP-Trademark-License-Agreement-and-Guidelines_102423.pdf)

A public directory of the certified organization will be maintained and made available to all who request it.

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## REVIEW OF A CERTIFICATE

The client can apply for a review of the certificate accordance with the requirements of Certification, e.g. there has been a change either in the certified TOE or in another factor which could impact its security functionality.

SGS Brightsight CB that issued the certificate will then perform maintenance activities related to the following:

- a re-assessment if an unchanged certified ICT product still meets its security requirements;
- an evaluation of the impacts of changes to a certified ICT product on its certification;
- if included in the certification, the application of patches in accordance with an assessed patch management process;
- if included, the review of the certificate holder's lifecycle management or production processes.

## CERTIFICATE SUSPENSION

If the technical review is non-compliant, it will result in the suspension/non-issuance of the certificate.

## SCOPE OVERRIDE/REDUCTION

A certificate may be canceled by SGS Brightsight CB in the following cases:

- If the Client does not take appropriate measures in case of suspension.
- If the CB terminates its contract with the Client.
- If the Client does not comply with its financial obligations.
- On request of the client.

The scope of a certificate may be reduced when:

- The client has persistently or seriously failed to comply with the certification requirements for those parts of the scope.
- On request of the client.

Cancellations of certificates or reductions of scope due to non-compliance will normally be preceded by a suspension (see Suspension section of this procedure). In any case, the situation and its consequences will be notified to the Client.

Also a Certificate may be withdrawal accordance with the requirements of the applicable Certification Scheme

A copy of the notice will be maintained in the client's file.

## CERTIFICATE RENEWAL

For the renewal the SGS Brightsight CB carry out the process described above, in the section "Certification process".

## USE OF THE SESIP CERTIFICATION MARK AND CERTIFICATE

Use is subject to the copyright and trademark license agreement of SESIP certification mark. The SESIP Scheme's Logo and Marks are the property of GlobalPlatform. All parties involved in the SESIP certification process (client, ITSEF and SGS Brightsight CB) shall use Logo and Marks according to "Trademark License Agreement" of GlobalPlatform. The characteristics of the SESIP certificate mark and logo are included in this document.

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All parties are encouraged to inform SGS Brightsight CB incorrect (o possible) use of any mark or incorrect or misleading claims of certification. Please contact SGS Brightsight CB via email [cb.sgsbrightsight@sgs.com](mailto:cb.sgsbrightsight@sgs.com).

The SESIP certificate and mark are awarded exclusively to products that have successfully passed the certification process.

Once the customer receives the SESIP product certificate, the customer may use the SESIP mark or reference to the certificate status as set forth by GlobalPlatform and in this document.

SGS Brightsight CB will maintain a list of SESIP certified products on its website.

Under no circumstances is it permitted to use the mark or reference to certified status on any product that has not been certified by SGS Brightsight CB and is therefore not included in the list of certified products.

As set out in the SGS Code of Practice and in this document, SGS Brightsight CB may take any action it deems necessary in the event of non-compliance with the conditions of use of its mark or misuse of the certificate status reference set out in this document.

In addition to what is established by GlobalPlatform, the client will use the SESIP certificate and logo taking into account the following conditions:

- The mark or a reference to the certified status must always be clearly associated with the identification of the certified product, so that it does not cause confusion with respect to other products, names, etc. not certified.
- The mark or a reference to the certified status must not, in any case, be directly associated with the name of the company in such a way as to lead one to believe that it is the company itself that has been certified.
- The mark or a reference to the certified condition must not, under any circumstances, be directly associated with non-certified products.
- The mark or a reference to certified status should only be associated with products included in the SGS Brightsight CB Certified Products List.

Both the SESIP mark and the reference to the certification condition of the product may be used by the client in documents such as promotional and advertising material (brochures, advertisements, etc.), stationery material (letters, delivery notes, invoices, etc.) or other documents. related to the certified product, taking into account the requirements established by GlobalPlatform and with the exception of the following cases:

- When the product has lost its certified status due to suspension or withdrawal of certification.
- When the validity period of the certificate has been exceeded.
- On products that are not included in the scope of the certificate issued by SGS Brightsight CB.
- In any situation that leads to confusion or may give rise to an incorrect interpretation of the status of the certificate and the scope it includes, making reference to other non-certified products.

The client is obliged to comply with the commitments acquired with SGS Brightsight described both in the offer (certification agreement) with SGS Brightsight CB and in this document.

Any modification that may affect the certification must be communicated to SGS Brightsight CB.

## **SURVEILLANCE**

### **- Monitoring activities by SGS Brightsight CB**

SGS Brightsight CB will carry out periodic surveillance of the SESIP certificates issued during their validity period of the certificate, and shall cover aspects of the management system, documentation, manufacturing and distribution process and products, depending on the type of certification services provided, at the discretion of the SGS Brightsight CB. The Client will provide access to all sites or products for surveillance purposes when deemed necessary.

The SGS Brightsight CB shall undertake its monitoring activities on the basis of:

- a result of a reported incident or complaint;
- a breach of regulation;
- complaints received;

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- vulnerability information that could impact the ITC products it has certified
  - information resulting from its own audits and investigations;
- **Consequences of non conformity of a certified ITC product**

If SGS Brightsight CB detects, after carrying out the corresponding surveillance activities, that a certified product does not conform with SESIP Scheme and other SGS requirements, SGS Brightsight CB shall inform the holder of the SESIP certificate about the identified non conformity and request remedial actions, within thirty days.

SGS Brightsight CB may, without undue delay, suspend the SESIP in the event that the SESIP certificate holder fails to adequately cooperate with the certification body. SGS Brightsight CB will carry out a review to assess whether the corrective action addresses the non-conformity.

When the holder of the SESIP certificate does not propose appropriate corrective measures during the aforementioned period, the certificate of conformity will be suspended.

In the event that the client does not respond within the aforementioned timeframe, SGS Brightsight CB will reserve the right to conduct additional announced or unannounced audits as a result of these follow-up activities. The costs of which will be charged to the client.

The Client will be informed of the results of each surveillance visit.

In addition, all potential infringements and misuses of GlobalPlatform's SESIP Marks, including but not limited to the foregoing uses, must be reported to GlobalPlatform.

#### **OTHER**

In addition, all matters not specified in this document, the "SGS Code of Practice" shall apply: <https://www.sgs.com/-/media/sgscorp/documents/corporate/brochures/sgs-code-of-practice-en.cdn.en.pdf>

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